## ON TOPOLOGICAL PROPERTIES OF FAMILIES OF FINITE SETS

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ABSTRACT. We present results about the Cantor-Bendixson index of some subspaces of a uniform family  $\mathcal F$  of finite subsets of natural numbers with respect to the lexicographic order topology. As a corollary of our results we get that for any  $\omega$ -uniform family  $\mathcal F$  the restriction  $\mathcal F \upharpoonright M$  is homeomorphic to  $\mathcal F$  iff M contains intervals of arbitrary length of consecutive integers. We show the connection of these results with a topological partition problem of uniform families.

### 1. Introduction

A partition problem for topological spaces is as follows: Given spaces X and Y and a partition of X into two pieces, is there a topological copy of Y inside one of the pieces? When the answer is positive, it is denoted by  $X \to (Y)_2^1$  (see [4] for more information about this type of problems). We will be mainly interested in the case X = Y. A well studied case is when X is a countable ordinal endowed with its natural order topology. A result of Baumgartner [2] solves this partition problem for a countable ordinal space  $\alpha$ . Namely, he showed that for a countable ordinal  $\alpha$ ,  $\alpha \to (\alpha)_2^1$  iff  $\alpha$  is of the form  $\omega^{\omega^{\beta}}$ .

Any countable ordinal is the order type of a uniform family  $\mathcal{F}$  of finite subsets of natural numbers lexicographically ordered. A typical uniform family of order type  $\omega^k$  is the collection of k-elements subsets of  $\mathbb{N}$ . Thus a partition of a countable ordinal space can be regarded as a partition of a uniform family endowed with the lexicographic order topology (the relevant definitions are given on section 2).

Families of finite sets has been the focus of Ramsey theory for a long time [3]. A well known result of Nash-Williams says that for any uniform family  $\mathcal{F}$  on  $\mathbb{N}$  and any subset  $\mathcal{B}$  of  $\mathcal{F}$  there is an infinite set  $A \subseteq \mathbb{N}$  such that either  $\mathcal{F} \upharpoonright A \subseteq \mathcal{B}$  or  $\mathcal{F} \upharpoonright A \cap \mathcal{B} = \emptyset$  (see [3]) where  $\mathcal{F} \upharpoonright A$  is the collection of elements of  $\mathcal{F}$  that are subsets of A. This theorem solves the topological partition problem for  $\mathcal{F}$ , if the topological type of  $\mathcal{F} \upharpoonright A$  and  $\mathcal{F}$  are the same. This was the starting point for this research. We soon realized that  $\mathcal{F} \upharpoonright A$  could be a discrete subspace of  $\mathcal{F}$  and hence Baumgartner's theorem is not a corollary of the Nash-Williams's theorem. In fact, given a uniform family  $\mathcal{F}$ , there is  $\mathcal{B} \subset \mathcal{F}$  such that  $\mathcal{F} \upharpoonright A$  is a discrete subset of  $\mathcal{F}$  for every set A homogeneous for the partition given by  $\mathcal{B}$  (i.e. for any A satisfying the conclusion of Nash-Williams's theorem applied to  $\mathcal{F}$  and  $\mathcal{B}$ ) (see example 3.13). Nevertheless, it is natural to wonder about the topological type of  $\mathcal{F} \upharpoonright A$ . The objective of this paper is to present an analysis of the Cantor-Bendixson index of  $\mathcal{F} \upharpoonright A$  as a subspace of a uniform family  $\mathcal{F}$ . Notice that  $\mathcal{F} \upharpoonright A$  has the same order type of  $\mathcal{F}$ , but the topological type varies considerably depending on the set A. Hence the difficulty lies on the fact that we are using on  $\mathcal{F} \upharpoonright A$  the subspace topology.

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To give an example of the results presented in this paper, we recall a typical  $\omega$ -uniform family, the so called *Schreier barrier*:

$$\mathcal{S} = \{ t \in \mathbb{N}^{[<\infty]} : |t| = \min(t) + 1 \}.$$

It is known that S is homeomorphic to  $\omega^{\omega}$ . We will show that  $S \upharpoonright M$  contains a topological copy of S iff M contains intervals of consecutive integers of arbitrary length. Finally, we mention that besides the important role played by uniform families in Ramsey theory [3], they have also appeared in the theory of Banach spaces as tools for the construction of Tsirelson-like spaces [1].

The paper is organized as follows. In section 2 we introduce the terminology and some preliminary facts. In section 3 we study the Cantor-Bendixson derivatives of uniform families. In section 4 we introduce the type of sets M such that the restriction  $\mathcal{F} \upharpoonright M$  has the same Cantor-Bendixson index as  $\mathcal{F}$ . Finally, in section 5 we present the main results about when  $\mathcal{F} \upharpoonright M$  contains a topological copy of  $\mathcal{F}$ .

## 2. Preliminaries

We denote by  $\mathbb{N}^{[<\infty]}$  the collection of all finite subsets of  $\mathbb{N}$ . If M is a set,  $M^{[k]}$  denotes the collection of all k-elements subsets of M. By  $M^{[\infty]}$  we denote the collection of all infinite subsets of M.

The lexicographic order  $<_{lex}$  over  $\mathbb{N}^{[<\infty]}$  is defined as follows: Given  $s, t \in \mathbb{N}^{[<\infty]}$  we put  $s <_{lex} t$  iff  $min(s \triangle t) \in s$ .

We write  $s \sqsubseteq t$  when there is  $n \in \mathbb{N}$  such that  $s = t \cap \{0, 1, \dots, n\}$  and we say that s is an initial segment of t. A collection  $\mathcal{F}$  of finite subsets of  $\mathbb{N}$  is a *front* on M if satisfies the following conditions: (i) Every two elements of  $\mathcal{F}$  are  $\sqsubseteq$ -incomparable. (ii) Every infinite subset N of M has an initial segment in  $\mathcal{F}$ .

Given  $\mathcal{F} \subset \mathbb{N}^{[<\infty]}$  and  $u \in \mathbb{N}^{[<\infty]}$ , let

$$\mathcal{F}_u = \{ s \in \mathbb{N}^{[<\infty]} : \ u \cup s \in \mathcal{F}, \ max(u) < min(s) \}.$$

For convenience, we set  $max(\emptyset) = -1$ ; in particular,  $\mathcal{F}_{\emptyset} = \mathcal{F}$ .

For M an infinite subset of  $\mathbb{N}$ , let

$$\mathcal{F} \upharpoonright M = \{ s \in \mathcal{F} : s \subset M \}.$$

We put  $M/k = \{n \in M : k < n\}$ . If u is a finite set and n = max(u), we put M/u = M/n. The notion of an  $\alpha$ -uniform family on an infinite set M is defined by recursion.

- (i)  $\{\emptyset\}$  is the unique 0-uniform family on M.
- (ii)  $\mathcal{F} \subseteq \mathbb{N}^{[<\infty]}$  is said to be  $(\alpha + 1)$ -uniform on M, if  $\mathcal{F}_{\{n\}}$  is  $\alpha$ -uniform on M/n for all  $n \in M$ .
- (iii) If  $\alpha$  is a limit ordinal, we say that  $\mathcal{F}$  is  $\alpha$ -uniform on M, if there is an increasing sequence  $(\alpha_k)_{k\in M}$  converging to  $\alpha$  such that  $\mathcal{F}_{\{k\}}$  is  $\alpha_k$ -uniform on M/k for all  $k\in M$ .

For  $k \in \mathbb{N}$ ,  $M^{[k]}$  is the unique k-uniform family on M. The following collection is an  $\omega$ -uniform family on  $\mathbb{N}$ , called *Schreier barrier*:

$$\mathcal{S} = \{ t \in \mathbb{N}^{[<\infty]} : |t| = \min(t) + 1 \}.$$

We say that  $\mathcal{F}$  is uniform on M when it is  $\alpha$ -uniform on M for some  $\alpha$ . Notice that if  $\mathcal{F}$  is uniform on M, then  $\mathcal{F}_u$  es uniform on M/u.

The following result is well known [1].

**Theorem 2.1.** Let  $\mathcal{F}$  be an  $\alpha$ -uniform family over M. Then  $\mathcal{F}$  is a front over M and  $\mathcal{F} \upharpoonright N$ is  $\alpha$ -uniform over N for all infinite  $N \subseteq M$ .

Given a front  $\mathcal{F}$  on a final segment S of  $\mathbb{N}$ . For  $n \in S$ , we denote by  $t_n^{\mathcal{F}}$  the unique element of  $\mathcal{F}$  verifying

$$t_n^{\mathcal{F}} \sqsubseteq \{n, n+1, n+2, \dots\}.$$

In the sequel, the sets  $t_n^{\mathcal{F}_u}$  will be very useful. In particular, we remark that given a finite set  $u \subset S$  and  $n \in S/u$ , there is a unique m such that

$$u \cup t_n^{\mathcal{F}_u} = u \cup \{n, n+1, \cdots, n+m\} \in \mathcal{F}.$$

Notice that if  $s \in \mathcal{F}$  and  $n = \min(s)$ , then

$$t_n^{\mathcal{F}} \leq_{lex} s <_{lex} t_{n+1}^{\mathcal{F}}.$$

Given two families  $\mathcal{F}$  and  $\mathcal{G}$  of finite sets, define  $\mathcal{F} \oplus \mathcal{G}$  as follows:

$$\mathcal{F} \oplus \mathcal{G} = \{ s \cup t : s \in \mathcal{G}, t \in \mathcal{F} \text{ and } max(s) < min(t) \}.$$

If  $\mathcal{F}$  is  $\alpha$ -uniform and  $\mathcal{G}$  is  $\beta$ -uniform, then  $\mathcal{F} \oplus \mathcal{G}$  is  $(\alpha + \beta)$ -uniform. Notice that if  $\mathcal{F}$  is a front over a final segment S of  $\mathbb{N}$ , then  $t_n^{\mathcal{F}} = \min(\mathcal{F}_{\{n\}} \oplus \{\{n\}\}\}, <_{lex})$  for all  $n \in S$ . The following result is well known (see for instance [1]).

**Theorem 2.2.** Let  $\mathcal{F}$  be an  $\alpha$ -uniform family over a set M. Then  $\mathcal{F}$  is lexicographically well ordered and its order type is  $\omega^{\alpha}$ .

In what follows, we consider an uniform family  $\mathcal{F}$  on  $\mathbb{N}$  (or a final segment of  $\mathbb{N}$ ) as topological space by giving  $\mathcal{F}$  the order topology respect to the lexicographic order  $<_{lex}$ .

Now we recall some known facts about the Cantor-Bendixson derivative (CB derivative in short). Given a topological space X and  $A \subseteq X$ , we let A' be the set of all limit points  $x \in A$ . Recursively,  $A^{(0)} = A$ ,  $A^{(\alpha+1)}$  is  $(A^{(\alpha)})'$  and for  $\alpha$  a limit ordinal,  $A^{(\alpha)}$  is  $\bigcap_{\beta < \alpha} A^{(\beta)}$ . The least  $\alpha$  such that  $A^{(\alpha)} = A^{(\alpha+1)}$  is called the CB index of A. It is well know that  $\omega^{\alpha}$ with the order topology has CB index equal to  $\alpha$ .

An ordinal is said to be *indecomposable* if there are not  $\beta, \gamma < \alpha$  such that  $\alpha = \beta + \gamma$ . It is known that  $\alpha$  is indecomposable iff  $\alpha = \omega^{\beta}$  for some  $\beta$ .

To get copies of uniform families we will use the following theorem which follows from the results in [2].

**Theorem 2.3.** Let  $\alpha < \omega_1$  be an indecomposable ordinal and  $X \subseteq \omega^{\alpha}$ . If  $X^{(\gamma)} \neq \emptyset$  for all  $\gamma < \alpha$ , then X has a subspace homeomorphic to  $\omega^{\alpha}$ .

### 3. CB derivatives of uniform families

In this section we study the behavior of the CB derivative on  $\mathcal{F} \uparrow M$ , for  $M \in \mathbb{N}^{[\infty]}$ , as a subspace of  $\mathcal{F}$ . In particular, we will characterize the limit points in  $\mathcal{F} \upharpoonright M$ .

**Lemma 3.1.** Let  $\mathcal{F}$  be an  $\alpha$ -uniform family on a final segment of  $\mathbb{N}$  with  $\alpha \geq \omega$  and  $t \in \mathcal{F}$ .

- (i) If  $\alpha = \omega$ , then  $|t| > \min(t) + 1$ .
- (ii) If  $\alpha > \omega$ , then  $|t| > \min(t) + 2$ .

In particular,  $|t| \geq 2$  for all t in an  $\alpha$ -uniform family with  $\alpha > 1$  and  $\min(t) \geq 1$ .

**Proof.** Let  $\mathcal{F}$  be an  $\omega$ -uniform family and  $t \in \mathcal{F}$ . Let n = min(t), then  $t/n \in \mathcal{F}_{\{n\}}$  and  $\mathcal{F}_{\{n\}}$  is k-uniform with  $k \geq n$ , therefore the size of t is at least n + 1. The rest of the claim follows by induction on  $\alpha$ .

**Lemma 3.2.** Let  $\mathcal{F}$  be an uniform family on a final segment of  $\mathbb{N}$ .

- (i) Suppose  $(s_i)_i$  is a sequence in  $\mathcal{F}$  such that  $s_i \to s$  with  $s \in \mathcal{F}$ , then there exists  $k \in \mathbb{N}$  such that  $min(s) 1 \le min(s_i) \le min(s)$  for all  $i \ge k$ . In particular,  $s_i \le_{lex} s$  for all  $i \ge k$ .
- (ii) Suppose  $(s_i)_i$  is a sequence in  $\mathcal{F}$  of the form  $s_i = u \cup \{p-1\} \cup v_i$  where  $u \in \mathbb{N}^{[<\infty]}$ ,  $p \ge 1$ ,  $max(u) < p-1 < min(v_i)$  and  $min(v_i) \to \infty$ . Then there is  $m \in \mathbb{N}$  such that  $s_i \to u \cup \{p, p+1, \ldots, p+m\} = u \cup t_n^{\mathcal{F}_u}$ .
- (iii) Suppose  $(s_i)_i$  is a sequence in  $\mathcal{F}$  such that  $s_i \to s \in \mathcal{F}$  and  $min(s_i) = min(s) 1 = p-1$  for all i. Then  $s = t_p^{\mathcal{F}}$  and  $s_i = \{p-1\} \cup v_i$  for some  $v_i$  such that  $p-1 < min(v_i)$  and  $min(v_i) \to \infty$ . Conversely, if  $s_i \to_i t_p^{\mathcal{F}}$  and  $s_i \neq t_p^{\mathcal{F}}$  for all i, then eventually  $min(s_i) = p-1$ .
- (iv) Suppose  $s_i \to s$  and  $min(s_i) = min(s) = n$  for all i. Then  $s_i/n \to s/n$ .
- (v) Suppose  $s, s_i \in \mathcal{F}$  with  $s \neq s_i$  for all i and  $s_i \to s$ . Then there are  $u, v_i \in \mathbb{N}^{[<\infty]}$  and  $p \in \mathbb{N}$  such that

$$s = u \cup t_p^{\mathcal{F}_u}$$

and eventually

$$s_i = u \cup \{p-1\} \cup v_i$$

where  $max(u) and <math>min(v_i) \to \infty$ .

**Proof.** (i) follows from the fact that  $\mathcal{F}$  is a front and the topology of  $\mathcal{F}$  is the order topology given by  $<_{lex}$  which is a well-order on  $\mathcal{F}$ . In particular, convergence in  $\mathcal{F}$  is from below.

To see (ii), let  $s = u \cup t_p^{\mathcal{F}_u}$  and  $w \in \mathcal{F}$  such that  $w <_{lex} s$ . It is clear that  $s_i <_{lex} s$  for all i. We will show that eventually  $w <_{lex} s_i$ . The only interesting case is when  $w = u \cup v$  with max(u) < min(v). If  $min(v) , then clearly <math>w <_{lex} s_i$  for all i. Suppose then that min(v) = p - 1. As  $s_i \in \mathcal{F}$  and  $\mathcal{F}$  is a  $\sqsubseteq$ -antichain, then  $u \cup \{p - 1\} \notin \mathcal{F}$  and thus  $|v| \geq 2$ . Therefore,  $w <_{lex} s_i$  for all large enough i.

For (iii), notice that  $s_i <_{lex} t_p^{\mathcal{F}} \leq_{lex} s$  for all i. Thus  $s = t_p^{\mathcal{F}}$ . Suppose m is such that  $min(v_i) < m$ . Since  $\mathcal{F}$  is a front, pick  $w_m \in \mathcal{F}$  such that  $\{p-1, m\} \sqsubseteq w_m$ . Then  $s_i <_{lex} w_m <_{lex} s$ . Hence there are only finitely many such  $v_i$  and thus  $min(v_i) \to \infty$ .

To see (v). By (i) we assume that  $s_i \leq_{lex} s$  for all i. If  $min(s_i) = min(s) - 1$  eventually, then apply (iii) to get the conclusion with  $u = \emptyset$ . If  $min(s_i) = min(s) = n$ , then by (iv),  $s_i/n \to s/n$ ; by repeating this finitely many times we get that  $s = u \cup w$ ,  $s_i = u \cup w_i$  with max(u) < w,  $max(u) < min(w_i)$ ,  $min(w_i) = min(w) - 1$  and  $w_i \to w$ . Since  $w, w_i \in \mathcal{F}_u$  and  $\mathcal{F}_u$  is uniform on  $\mathbb{N}/u$ , then we apply (iii) to finish the proof.

**Remark 3.3.** Let  $\mathcal{F}$  be an uniform family on  $\mathbb{N}$ . If  $\mathcal{F} \upharpoonright M$  is a closed subset of  $\mathcal{F}$ , then M is a final segment of  $\mathbb{N}$ . In fact, let  $n \in M$ , we show that  $n+1 \in M$ . Since  $\mathcal{F} \upharpoonright M$  is a front on M, let  $v_i \in \mathcal{F} \upharpoonright M$  such that  $\{n, i\} \sqsubseteq v_i$  for  $i \in M/n$ . Then  $v_i \to t_{n+1}^{\mathcal{F}}$ , in particular  $n+1 \in M$ .

Using the previous results, we are ready to characterize limit points in uniform families.

**Proposition 3.4.** Let  $\mathcal{F}$  be an  $\alpha$ -uniform family on a final segment S of  $\mathbb{N}$  with  $1 < \alpha < \omega_1$ ,  $M \in S^{[\infty]}$  and  $t \in \mathcal{F} \upharpoonright M$  with min(t) > 1. Then,  $t \in (\mathcal{F} \upharpoonright M)'$  if, and only if, there is  $u \in S^{[<\infty]}$  and  $p \in \mathbb{N}$  such that

$$t = u \cup \{p, p+1, \cdots, p+m\}$$

where  $max(u) < p-1, p-1 \in M$  and  $m \ge 1$ . Notice that  $t = u \cup t_n^{\mathcal{F}_u}$ .

**Proof.** ( $\Rightarrow$ ) Let  $t \in (\mathcal{F} \upharpoonright M)'$ , by lemma 3.2 we know that there is  $u \in \mathbb{N}^{[<\infty]}$  and  $p, m \in \mathbb{N}$ such that

$$t = u \cup \{p, p+1, \cdots, p+m\} = u \cup t_p^{\mathcal{F}_u}$$

and max(u) < p-1. Moreover, any sequence in  $\mathcal{F} \upharpoonright M$  converging to t is eventually of the form  $s_i = u \cup \{p-1\} \cup v_i$  where  $max(u) < p-1 < min(v_i)$  and  $min(v_i) \to \infty$ . In particular,  $p-1 \in M$ . It remains only to show that  $m \geq 1$ . Since  $\{p-1\} \cup v_i \in \mathcal{F}_u$ , then  $\mathcal{F}_u$  is not 1-uniform, thus by lemma 3.1,  $t_p^{\mathcal{F}_u}$  has size at least 2, hence  $m \geq 1$ .

 $(\Leftarrow)$  Reciprocally, suppose  $t = u \cup t_p^{\mathcal{F}_u} \subseteq M$  for some  $p \in M$  with max(u) .Notice that  $\mathcal{F}_u \upharpoonright M$  is a  $\beta$ -uniform family on M/u for some  $\beta < \alpha$ . Since  $t_p^{\mathcal{F}_u}$  has size at least 2, then  $\beta \geq 2$ . As  $\mathcal{F}_u \upharpoonright M$  is a front on M/u, there is  $w_i \in \mathcal{F}_u \upharpoonright M$  such that  $\{p-1,i\} \sqsubseteq w_i$ for each  $i \in M/(p-1)$ . Then by lemma 3.2 we know that  $u \cup w_i \to u \cup t_n^{\mathcal{F}_u}$ .

Proposition 3.4 gives a tool to determine the topological type of a subspace  $\mathcal{F} \upharpoonright M$ . Also, it allows to construct subspaces  $\mathcal{F} \upharpoonright M$  without copies of  $\mathcal{F}$ . The following example shows that  $\mathcal{F} \upharpoonright M$  can be a discrete subspace of  $\mathcal{F}$ .

**Examples 3.5.** For the following examples we shall consider the Schreier barrier S (defined in  $\S 2$ ).

- (i) Let  $M \in \mathbb{N}^{[\infty]}$  be the collection of even numbers. Since in M there are not consecutive numbers, then  $S \upharpoonright M$  is a discrete subspace of S.
- (ii) Let  $M = \{3k : k \in \mathbb{N}\}$  and  $N = \mathbb{N}\backslash M$ . In this case, N has consecutive numbers but  $S \upharpoonright N$  is also discrete, because  $3q \notin N$  for all q.

As we can see, given an uniform family  $\mathcal{F}$  on  $\mathbb{N}$ , its restrictions  $\mathcal{F} \upharpoonright M$  can change considerably its topological type. Nevertheless, for some sets M the restriction conserves the topological type of  $\mathcal{F}$ . The simplest example is when M is a final segment of  $\mathbb{N}$ , then  $\mathcal{F} \upharpoonright M$ corresponds also to final segment of  $\mathcal{F}$ , therefore  $\mathcal{F} \upharpoonright M$  is closed in  $\mathcal{F}$  and the subspace topology of  $\mathcal{F} \upharpoonright M$  is homeomorphic  $\mathcal{F}$ . But, as we shall show in following sections, there are also non trivial sets M such that  $\mathcal{F} \upharpoonright M$  contains a topological copy of  $\mathcal{F}$ . To do this, we need to analyze the CB derivatives of an uniform family.

Using the definition of  $\mathcal{F}_{\{n\}}$ ,  $\oplus$ , and  $<_{lex}$ , it is easy to verify the following result which we shall use continuously to make proofs by induction.

**Lemma 3.6.** Let  $\mathcal{F} \subseteq \mathbb{N}^{[<\infty]}$  and  $M \in \mathbb{N}^{[\infty]}$ . The following hold:

- (i)  $\mathcal{F}_{\{n\}} \upharpoonright M = (\mathcal{F} \upharpoonright M)_{\{n\}}, \text{ for } n \in M,$
- $(ii) \ \mathcal{F}_{\{n\}} = \bigcup_{m>n} (\mathcal{F}_{\{n\}})_{\{m\}} \oplus \{\{m\}\}, \ for \ n \in \mathbb{N}, \\ (iii) \ \mathcal{F} \upharpoonright M = \bigcup_{n \in M} (\mathcal{F} \upharpoonright M)_{\{n\}} \oplus \{\{n\}\}.$

**Lemma 3.7.** Let  $\mathcal{F}$  be an  $\alpha$ -uniform family on a final segment S of  $\mathbb{N}$ , M an infinite subset of S, u a finite set and  $0 < \beta < \alpha$ , then

$$\left[ (\mathcal{F} \upharpoonright M)_u \oplus \{u\} \right]^{(\beta)} = \left[ (\mathcal{F} \upharpoonright M)_u \right]^{(\beta)} \oplus \{u\}.$$

In particular, for  $n \in \mathbb{N}$  we have

$$\left[ (\mathcal{F} \upharpoonright M)_{\{n\}} \oplus \{\{n\}\} \right]^{(\beta)} = \left[ (\mathcal{F} \upharpoonright M)_{\{n\}} \right]^{(\beta)} \oplus \{\{n\}\}.$$

**Proof.** By induction on  $\beta$ . The result its true for  $\beta = 1$  by Lemma 3.2. Let us consider  $\beta < \alpha$  and let us suppose that the lemma is true for all  $\gamma < \beta$ .

(i) Suppose  $\beta = \gamma + 1$  and let  $t \in \left[ (\mathcal{F} \upharpoonright M)_u \oplus \{u\} \right]^{(\gamma+1)}$ . Then there exists  $(t_i)_i$  in  $\left[ (\mathcal{F} \upharpoonright M)_u \oplus \{u\} \right]^{(\gamma)}$  such that  $t_i \to t$ . By the inductive hypothesis,  $(t_i)_i \in \left[ (\mathcal{F} \upharpoonright M)_u \right]^{(\gamma)} \oplus \{u\}$ . Thus applying Lemma 3.2 we get that  $t/u \in \left[ (\mathcal{F} \upharpoonright M)_u \right]^{(\gamma+1)}$ . Hence  $t = u \cup t/u \in \left[ (\mathcal{F} \upharpoonright M)_u \right]^{(\gamma+1)} \oplus \{u\}$ .

Reciprocally, let  $t \in \left[ (\mathcal{F} \upharpoonright M)_u \right]^{(\gamma+1)} \oplus \{u\}$ . Then  $t/u \in \left[ (\mathcal{F} \upharpoonright M)_u \right]^{(\gamma+1)}$ . Thus there is  $(t_i)_i \in \left[ (\mathcal{F} \upharpoonright M)_u \right]^{(\gamma)}$  such that  $t_i \to t/u$ . Hence  $t \in \left[ (\mathcal{F} \upharpoonright M)_{\{n\}} \oplus \{u\} \right]^{(\gamma+1)}$ , because

$$u \cup t_i \in \left[ (\mathcal{F} \upharpoonright M)_u \right]^{(\gamma)} \oplus \{u\} = \left[ (\mathcal{F} \upharpoonright M)_u \oplus \{u\} \right]^{(\gamma)}$$
.

(ii) If  $\beta$  is an ordinal limit, then

$$\begin{split} \left[ (\mathcal{F} \upharpoonright M)_u \oplus \{u\} \right]^{^{(\beta)}} &= \bigcap_{\lambda < \beta} \left[ (\mathcal{F} \upharpoonright M)_u \oplus \{u\} \right]^{^{(\lambda)}} \\ &= \bigcap_{\lambda < \beta} \left( \left[ (\mathcal{F} \upharpoonright M)_u \right]^{^{(\lambda)}} \oplus \{u\} \right) \\ &= \left( \bigcap_{\lambda < \beta} \left[ (\mathcal{F} \upharpoonright M)_u \right]^{^{(\lambda)}} \right) \oplus \{u\} \\ &= \left[ (\mathcal{F} \upharpoonright M)_u \right]^{^{(\beta)}} \oplus \{u\}. \end{split}$$

**Proposition 3.8.** Let  $\mathcal{F}$  be an  $\alpha$ -uniform family on a final segment of  $\mathbb{N}$  with  $2 < \alpha < \omega_1$ ,  $M \in \mathbb{N}^{[\infty]}$  and  $0 < \beta < \alpha$ . If  $t \in (\mathcal{F} \upharpoonright M)^{(\beta)}$  then one of the following holds:

- (i)  $t/k \in ((\mathcal{F} \upharpoonright M)_{\{k\}})^{(\beta)}$ , where  $k = \min(t)$ , or
- (ii)  $t = t_p^{\mathcal{F}}$ , for some  $p \in \mathbb{N}$  with  $p 1 \in M$ .

*Therefore* 

$$(\mathcal{F} \upharpoonright M)^{(\beta)} \subseteq \bigcup_{k \in M} \left[ (\mathcal{F} \upharpoonright M)_{\{k\}} \oplus \{\{k\}\}\right]^{(\beta)} \cup \{t_p^{\mathcal{F}} : t_p^{\mathcal{F}} \subseteq M \text{ and } p-1 \in M\}.$$

**Proof.** Note that the last equation is consequence of (i), (ii) and Lemma 3.7. On the other hand, let  $t \in (\mathcal{F} \upharpoonright M)^{(\beta)}$  and  $k = \min(t)$ . Then  $t_k^{\mathcal{F}} \leq_{lex} t <_{lex} t_{k+1}^{\mathcal{F}}$ . There are two cases to consider: (a) Suppose  $t = t_k^{\mathcal{F}}$ . Since t is a limit point, then by lemma 3.4,  $k - 1 \in M$  and (ii) holds.

(b) Suppose  $t_k^{\mathcal{F}} <_{lex} t$ . Let

$$U_k = \{ s \in \mathcal{F} | M : t_k^{\mathcal{F}} <_{lex} s <_{lex} t_{k+1}^{\mathcal{F}} \}.$$

Then  $t \in U_k$  and  $U_k$  is an open subset of  $\mathcal{F} \upharpoonright M$ . Thus  $t \in (U_k)^{(\beta)} \subseteq ((\mathcal{F} \upharpoonright M)_{\{k\}} \oplus \{\{k\}\})^{(\beta)}$  $= ((\mathcal{F} \upharpoonright M)_{\{k\}})^{(\beta)} \oplus \{\{k\}\}\$ . Thus (i) holds.

3.1. Finite CB derivative. In this section we present some results about the finite derivatives  $(\mathcal{F} \upharpoonright M)^{(l)}$ , with  $l < \omega$ .

**Lemma 3.9.** Let  $\mathcal{F}$  be an  $\alpha$ -uniform family on M with  $\alpha \geq \omega$ . There is a sequence  $(w_j)_j$  of finite sets with  $(min(w_i))_i$  increasing and an increasing sequence of integers  $(k_i)_i$  such that  $\mathcal{F}_{w_i}$  is  $k_i$ -uniform on  $M/w_i$ .

**Proof.** By induction on  $\alpha$ . For  $\alpha = \omega$  the result follows from the definition of a  $\omega$ -uniform family. If  $\alpha > \omega$ , then  $\mathcal{F}_{\{j\}}$  is  $\beta_j$ -uniform on M/j with  $\omega \leq \beta_j < \alpha$  for (eventually) all  $j \in M$ . Using the inductive hypothesis, define recursively  $k_j$  and  $v_j$  for  $j \in M$  such that  $\mathcal{F}_{\{j\}\cup v_j}$  is  $k_j$ -uniform on  $M/v_j$ ,  $j < min(v_j)$  and  $(k_j)_j$  increasing. Take  $w_j = \{j\} \cup v_j$  with  $j \in M$ .

**Proposition 3.10.** Let  $\mathcal{F}$  be an  $\alpha$ -uniform family on a final segment S of  $\mathbb{N}$  with  $\alpha \geq 3$  and  $M \in S^{[\infty]}$ . Suppose there is  $l \in \mathbb{N}$  with  $1 \leq l$  and  $N \in \mathbb{N}^{[\infty]}$  such that  $\{i, i+1, i+2, \dots, i+l\} \subseteq \mathbb{N}$ M for all  $i \in N$ . Let  $u \in \mathbb{N}^{[<\infty]}$  and p > max(u) + 1 be such that  $\mathcal{F}_{u \cup \{p-1\}}$  is  $\beta$ -uniform with  $l < \beta$ . If  $t \in \mathcal{F}$  is of the form

$$t = u \cup \{p, p+1, \dots, p+m\}$$

with l < m, then  $t \in (\mathcal{F} \upharpoonright M)^{(l)}$ .

**Proof.** When l=1, the result follows from 3.4, thus we assume  $l\geq 2$ . Let t,M and N as in the hypothesis. We will define a sequence  $(s_i)_i$  in  $(\mathcal{F} \upharpoonright M)^{(l-1)}$  converging to t.

We treat first the case  $\beta < \omega$ . When  $l = \beta$ , take  $s_i = u \cup \{p-1\} \cup \{i+1, \dots, i+l\}$  for  $i \in N/p$ . If  $l < \beta$ , then for infinite many  $i \in N$  there is a nonempty finite set  $w_i$  such that

$$s_i = u \cup \{p-1\} \cup w_i \cup \{i+1, \cdots, i+l\} \in \mathcal{F} \upharpoonright M,$$

 $p-1 < min(w_i), max(w_i) < i \text{ and } min(w_i) \to \infty$ . This finishes the definition of the sequence  $(s_i)_i$ . By a straightforward inductive argument, we conclude that  $s_i \in (\mathcal{F} \upharpoonright M)^{(l-1)}$ . By lemma 3.2,  $s_i \to t$  and thus  $t \in (\mathcal{F} \upharpoonright M)^{(i)}$ .

Now suppose  $\beta \geq \omega$ . By lemma 3.9, there are sequences  $(w_i)_i$  and  $(k_i)_i$  such that  $p < \infty$  $min(w_i) \to \infty$ ,  $k_i > m$  and  $\mathcal{F}_{u \cup \{p-1\} \cup w_i}$  is  $k_i$ -uniform. Then we construct the sequence  $(s_i)_i$ as before.

For k-uniform families with  $k \in \omega$  we have the following proposition.

**Proposition 3.11.** Let  $\mathcal{F}$  be a k-uniform family on a final segment of  $\mathbb{N}$  with  $3 \leq k$ . Let  $l \in \mathbb{N}$  with  $2 \leq l < k$ ,  $M \in \mathbb{N}^{[\infty]}$  and  $t \subseteq M$ . If  $t \in (\mathcal{F} \upharpoonright M)^{(l)}$ , then there exist  $N \in \mathbb{N}^{[\infty]}$ such that  $\{i, i+1, i+2, \dots, i+l\} \subseteq M$  for all  $i \in N$  and

$$t = u \cup \{p, p+1, \dots, p+m\}$$

for some  $u \in \mathbb{N}^{[<\infty]}$  with  $max(u) < p-1 \in M$  and  $l \le m \le k-1$ .

**Proof.** Let  $t \in (\mathcal{F} \upharpoonright M)^{(l)}$ , then by lemma 3.4

$$t = u \cup \{p, p+1, \dots, p+m\}$$

for some  $u \in \mathbb{N}^{[<\infty]}$  with  $max(u) < p-1 \in M$ . Let  $(s_i)_i$  in  $(\mathcal{F} \upharpoonright M)^{(l-1)}$  converging to t. By lemma 3.2 we assume that each  $s_i$  is of the form

$$s_i = u \cup \{p-1\} \cup v_i$$

with  $p-1 < min(v_i)$ .

The proof is by induction on l. By the inductive hypothesis when  $l \geq 3$  and by lemma 3.4 when l = 2, we conclude that there is an increasing sequence  $(p_i)_i$  such that  $p_i - 1 \in M$ ,  $\{p_i, p_i + 1, \dots, p_i + m_i\} \subseteq v_i$  and  $l - 1 \leq m_i$ . In particular, this says that  $\{p_i - 1, p_i, p_i + 1, \dots, p_i + l - 1\} \subset M$  for all i.

Now we show that  $l \leq m < |t| - 1$ . In fact,  $m = |t| - |u| - 1 = |v_i| \geq m_i + 1 \geq l$ .

From the previous results we immediately get the following:

**Theorem 3.12.** Let  $M \in \mathbb{N}^{[\infty]}$  and k > 2. Then  $M^{[k]}$ , as a subspace of  $\mathbb{N}^{[k]}$ , has CB index k if, and only if, there exists  $p \in \mathbb{N}$  and  $N \in \mathbb{N}^{[\infty]}$  such that  $\{p-1, p, p+1, p+2, \dots, p+k-1\} \subseteq M$  and  $\{i, i+1, i+2, \dots, i+k-1\} \subseteq M$  for all  $i \in N$ .

The previous Theorem gives a characterization of those  $M \in \mathbb{N}^{[\infty]}$  such that the CB index of  $\mathcal{F} = \mathbb{N}^{[k]}$  and  $\mathcal{F}|M$  are the same. However, this does not guarantee that  $\mathcal{F}|M$  contains a topological copy of  $\mathcal{F}$ . To get this, we need that  $\{p-1,p,p+1,p+2,\ldots,p+k-1\}\subseteq M$  for infinite many p.

The following example shows what we have said in the introduction about Nash-Williams theorem.

**Example 3.13.** Let  $\mathcal{F}$  be a  $\alpha$ -uniform family on  $\mathbb{N}$  with  $\alpha \geq 2$ . Let  $\mathcal{B} = \mathcal{F}^{(1)}$  and M be an infinite set. We will show that  $(\mathcal{F} \upharpoonright M) \setminus \mathcal{B} \neq \emptyset$ . In particular, this says that if M is homogeneous for the partition given by  $\mathcal{B}$ , then  $(\mathcal{F} \upharpoonright M)$  is a discrete subset of  $\mathcal{F}$ .

Suppose first that  $\alpha \geq \omega$ . By lemma 3.9, applied to  $\mathcal{F} \upharpoonright M$ , there is  $u \subset M$  finite such that  $\mathcal{F}_u \upharpoonright M$  is k-uniform for some  $2 \leq k < \omega$ . Let  $w \subset M$  and  $p,q \in M$  such that  $max(w) and <math>|w \cup \{p,q\}| = k$ . Then  $t = u \cup w \cup \{p,q\} \in \mathcal{F} \upharpoonright M$  and  $t \notin \mathcal{B}$  (by lemma 3.4). If  $\alpha < \omega$ , we can argue analogously to find t.

## 4. $\mathcal{F}$ -ADEQUATE SETS

Let  $\mathcal{F}$  be an  $\alpha$ -uniform family on a final segment S of  $\mathbb{N}$  with  $\alpha \geq 2$ . In this section we introduce the notion of a  $\mathcal{F}$ -adequate set M and later we will show that for those sets  $\mathcal{F} \upharpoonright M$  has the same CB index as  $\mathcal{F}$ .

Let  $M \in S^{[\infty]}$ , we define by recursion a subset  $M(\mathcal{F})$  of M and the notion of a  $\mathcal{F}$ -adequate set.

(i) If  $\alpha = 2$ , then  $M(\mathcal{F})$  is the set of all  $n \in M$  such that  $t_{n+1}^{\mathcal{F}} \subset M$ . And M is said to be  $\mathcal{F}$ -adequate, if  $M(\mathcal{F})$  is not empty.

- (ii) If  $\alpha = \beta + 1$ , then
  - $M(\mathcal{F}) = \{ n \in M : t_{n+1}^{\mathcal{F}} \subset M, M/n \text{ is } \mathcal{F}_{\{n\}}\text{-adequate and } (M/n)(\mathcal{F}_{\{n\}}) \text{ is infinite} \}.$

And M is said to be  $\mathcal{F}$ -adequate, if  $M(\mathcal{F})$  is not empty.

(iii) If  $\alpha$  is limit, then  $M(\mathcal{F}) = M$ . Let  $(\alpha_n)_n$  be the increasing sequence of ordinals as in the definition of a  $\alpha$ -uniform family. We say that M is  $\mathcal{F}$ -adequate, if for all n there is a non empty finite set  $v \subset M$  such that  $\mathcal{F}_v$  is  $\gamma$ -uniform for some  $\gamma \geq \alpha_n$  and M/v is  $\mathcal{F}_v$ -adequate.

**Example 4.1.** If  $\mathcal{F} = \mathbb{N}^{[2]}$ , then an infinite set is  $\mathcal{F}$ -adequate when it contains three consecutive integers. In general, for  $\mathcal{F} = \mathbb{N}^{[k+1]}$ , a set is  $\mathcal{F}$ -adequate if it contains  $\{n, n+1, \dots, n+k\}$  for some n and infinite many intervals of length k.

Let us say that an infinite set M is  $\omega$ -adequate, if it contains arbitrarily long intervals of consecutive integers. Suppose  $\mathcal{F}$  is  $\omega$ -uniform on  $\mathbb{N}$ . Then M is  $\mathcal{F}$ -adequate iff M is  $\omega$ -adequate.

Now suppose that  $\mathcal{F}$  is  $(\omega + 1)$ -uniform on  $\mathbb{N}$ . Let P be a  $\omega$ -adequate set. For a fixed  $k \in \mathbb{N}$ , let  $M = P \cup \{k\} \cup t_{k+1}^{\mathcal{F}}$ . Then M is  $\mathcal{F}$ -adequate. In fact, notice that  $k \in M(\mathcal{F})$  because M/k is  $\omega$ -adequate and  $\mathcal{F}_{\{k\}}$  is  $\omega$ -uniform.

The next lemma says that, in the definition of a  $\mathcal{F}$ -adequate set for  $\alpha$  limit, we could have required that the ordinals  $\gamma$  are successor.

**Lemma 4.2.** Let  $\mathcal{F}$  be an  $\alpha$ -uniform family on a final segment of  $\mathbb{N}$  with  $\alpha$  a limit ordinal. If M is an  $\mathcal{F}$ -adequate set, then there is a sequence of ordinals  $\beta_n < \alpha$  and finite sets  $u_n \subset M$  such that  $M/u_n$  is  $\mathcal{F}_{u_n}$ -adequate,  $\mathcal{F}_{u_n}$  is  $(\beta_n + 1)$ -uniform on  $M/u_n$ ,  $\alpha = \sup\{\beta_n : n \in \mathbb{N}\}$ .

**Proof.** By induction. The result holds for  $\alpha = \omega$  by the definition of an  $\omega$ -uniform family. Let  $\alpha > \omega$  be a limit ordinal. Let  $(\alpha_n)_n$  converging to  $\alpha$  as in the definition of an  $\alpha$ -uniform family. Fix sequences  $(\gamma_n)_n$  and  $(v_n)_n$  as in the definition of  $\mathcal{F}$ -adequate set. Since  $(\alpha_n)_n$  is increasing, we assume that  $\gamma_n > \alpha_n$ . If there are infinitely many n such that  $\gamma_n$  is a successor ordinal, then we are done. Otherwise, assume that  $\gamma_n$  is a limit ordinal for all n. Apply the inductive hypothesis to  $\mathcal{F}_{v_n}$  and  $M/v_n$  to get sequences of ordinals  $\beta_k^n$  converging to  $\gamma_n$  and finite sets  $v_k^n \subset M$  such that  $v_n \subset v_k^n$ ,  $M/v_k^n$  is  $\mathcal{F}_{v_k^n}$ -adequate and  $\mathcal{F}_{v_k^n}$  is  $(\beta_k^n + 1)$ -uniform. Now pick for each n an integer  $k_n$  such that  $\beta_{k_n}^n > \alpha_n$ . Take  $u_n = v_{k_n}^n$  and  $\beta_n = \beta_{k_n}^n$ .

We going to present a method to construct  $\mathcal{F}$ -adequate sets. It is easy to show by induction on  $\alpha$  that if  $\mathcal{F}$  is  $\alpha$ -uniform with  $\alpha \geq \omega$ , then there exist  $s \in \mathbb{N}^{[<\infty]}$  such that  $\mathcal{F}_s$  is  $\omega$ -uniform on  $\mathbb{N}/s$ . Thus the following definition is non trivial.

**Definition 4.3.** Let  $\mathcal{F}$  be an  $\alpha$ -uniform family with  $\alpha \geq \omega$ , we define the set  $\mathcal{A}_{\mathcal{F}}$  as

$$\mathcal{A}_{\mathcal{F}} = \{ s \in \overline{\mathcal{F}}^{\sqsubseteq} : \mathcal{F}_s \text{ is } \omega\text{-uniform on } \mathbb{N}/s \}.$$

The set  $\mathcal{A}_{\mathcal{F}}$  has the following properties:

- (1)  $\mathcal{A}_{\mathcal{F}}$  is infinite, if  $\alpha \neq \omega$ ,
- (2)  $\mathcal{A}_{\mathcal{F}}$  is a front on M (If  $\mathcal{F}$  is uniform on  $M \in \mathbb{N}^{[\infty]}$ ),
- (3)  $\overline{\mathcal{A}_{\mathcal{F}}}^{\sqsubseteq}$  is a well founded tree.

From  $\mathcal{A}_{\mathcal{F}}$  we define a  $\mathcal{F}$ -adequate tree and then a  $\mathcal{F}$ -adequate set of natural numbers.

**Definition 4.4.** Let  $\mathcal{F}$  be an  $\alpha$ -uniform family with  $\alpha \geq \omega$ . We will say that a non empty subset T of  $\overline{\mathcal{A}_{\mathcal{F}}}^{\sqsubseteq}$  is a  $\mathcal{F}$ -tree, if the following conditions hold

- (i) If  $t \in T$  and  $s \sqsubseteq t$ , then  $s \in T$ ,
- (ii)  $Ter(T) \subseteq \mathcal{A}_{\mathcal{F}}$ ,
- (iii)  $\{n \in \mathbb{N} : n > t \text{ and } t \cup \{n\} \in T\}$  is infinite, for all  $t \in T \setminus Ter(T)$ , where Ter(T) denotes the set of terminal nodes of T.

We remark that for an  $\alpha$ -uniform family  $\mathcal{F}$  on a set M with  $\alpha > \omega$ ,  $\mathcal{A}_{\mathcal{F}}$  is a front on M, and thus  $\overline{\mathcal{A}_{\mathcal{F}}}^{\sqsubseteq}$  is well founded [1]. Thus each  $\mathcal{F}$ -tree is also well founded.

**Definition 4.5.** Given  $\mathcal{F}$  an  $\alpha$ -uniform family with  $\alpha > \omega$  and T a  $\mathcal{F}$ -tree, we define  $E(T) \in \mathbb{N}^{[\infty]}$  as

$$E(T) = \bigcup_{ \begin{array}{c} s \cup \{n\} \in T \\ s < n \end{array}} \{n\} \cup t_{n+1}^{\mathcal{F}_s}.$$

In other words,

$$\emptyset \neq \{x_0, x_1, x_2, \dots x_{k-1}, x_k\} \in T \Leftrightarrow \{x_k\} \cup t_{x_k+1}^{\mathcal{F}_{\{x_0, x_1, x_2, \dots x_{k-1}\}}} \subseteq E(T).$$

**Lemma 4.6.** Let  $\mathcal{F}$  be an  $\alpha$ -uniform family over a final segment of  $\mathbb{N}$  with  $\alpha > \omega$  and  $n \in \mathbb{N}$ . Then,

- $(1) (\mathcal{A}_{\mathcal{F}})_{\{n\}} = \mathcal{A}_{\mathcal{F}_{\{n\}}},$
- (2) If T is a  $\mathcal{F}$ -tree, then  $T_{\{n\}}$  is a  $\mathcal{F}_{\{n\}}$ -tree for all n such that  $\{n\} \in T$ ,
- (3)  $E(T_{\{n\}}) \subseteq E(T)$  for all n such that  $\{n\} \in T$ .

**Proof.** It is straightforward.

**Proposition 4.7.** Let  $\mathcal{F}$  be an  $\alpha$ -uniform family over a final segment of  $\mathbb{N}$  with  $\alpha > \omega$ . If T is a  $\mathcal{F}$ -tree, then E(T) is  $\mathcal{F}$ -adequate.

**Proof.** By induction on  $\alpha$ . Let us fix a  $\mathcal{F}$ -tree T and let M = E(T). We will show that M is  $\mathcal{F}$ -adequate and moreover that it is infinite.

- (i) Suppose  $\alpha = \omega + 1$ . It is easy to verify that  $n \in M$  for all n such that  $\{n\} \in T$ . Recall that by lemma 3.1, the size of  $t_{n+1}^{\mathcal{F}}$  is increasing with n. Thus M contains arbitrarily long intervals of consecutive integers and by example 4.1, M is  $\mathcal{F}_{\{n\}}$  adequate for all n.
- (ii) If  $\alpha = \beta + 1$ , we will show that  $M(\mathcal{F})$  contains all n such that  $\{n\} \in T$ . Fix such an n. Then  $t_{n+1}^{\mathcal{F}} \subset M$ . Let  $M_n$  be  $E(T_{\{n\}})$ . Since  $T_{\{n\}}$  is a  $\mathcal{F}_{\{n\}}$ -tree, by the inductive hypothesis,  $M_n$  is  $\mathcal{F}_{\{n\}}$ -adequate and  $M_n(\mathcal{F}_{\{n\}})$  is infinite. As  $M_n(\mathcal{F}_{\{n\}}) \subset M_n \subset M/n$ , then M/n is  $\mathcal{F}_{\{n\}}$ -adequate. Thus  $n \in M(\mathcal{F})$ .
- (iii) Finally, suppose  $\alpha$  is a limit ordinal. Then  $T_{\{n\}}$  is a  $\mathcal{F}_{\{n\}}$ -tree for each n such that  $\{n\} \in T$ . Since  $\mathcal{F}_{\{n\}}$  is  $\alpha_n$ -uniform, then  $E(T_{\{n\}})$  is  $\mathcal{F}_{\{n\}}$ -adequate. Since  $E(T_{\{n\}}) \subseteq E(T)$ , then E(T) is also  $\mathcal{F}_{\{n\}}$ -adequate. As this holds for infinite many n's, then E(T) is  $\mathcal{F}$ -adequate.

**Example 4.8.** Let  $\mathcal{F}$  be a  $(\omega+1)$ -uniform family on  $\mathbb{N}$ . It is easy to construct an infinite set P containing arbitrarily long intervals of consecutive natural numbers and such that  $t_n^{\mathcal{F}} \not\subset P$ for all n. As in example 4.1, fixed  $k \in \mathbb{N}$  and let  $M = P \cup \{k\} \cup t_{k+1}^{\mathcal{F}}$ . Then M is  $\mathcal{F}$ -adequate and it is not of the form E(T) for any  $\mathcal{F}$ -tree T.

# 5. Topological copies of $\mathcal{F}$ inside $\mathcal{F} \upharpoonright M$

The following theorem is one of the main results of this paper. It justifies the introduction of  $\mathcal{F}$ -adequate sets.

**Theorem 5.1.** Let  $\mathcal{F}$  be an  $\alpha$ -uniform family on a final segment S of  $\mathbb{N}$  with  $\alpha \geq 2$  and Ma  $\mathcal{F}$ -adequate set. Then the CB index of  $\mathcal{F} \upharpoonright M$  is  $\alpha$ .

**Proof.** Since  $\mathcal{F}$  is homeomorfic to  $\omega^{\alpha}$ , then the CB index of  $\mathcal{F} \upharpoonright M$  is at most  $\alpha$ .

We first show by induction on  $\beta \geq 1$  that if  $\mathcal{F}$  is  $(\beta + 1)$ -uniform, M is  $\mathcal{F}$ -adequate and  $n \in M(\mathcal{F})$ , then

$$t_{n+1}^{\mathcal{F}} \in (\mathcal{F} \upharpoonright M)^{(\beta)}.$$

- (i) If  $\beta = 1$ , then  $t_{n+1}^{\mathcal{F}} = \{n+1, n+2\} \subset M$ . From lemma 3.4,  $t_{n+1}^{\mathcal{F}} \in (\mathcal{F} \upharpoonright M)^{(1)}$ . (ii) Suppose  $\beta = \gamma + 1$ . Since M/n is  $\mathcal{F}_{\{n\}}$ -adequate and  $(M/n)(\mathcal{F}_{\{n\}})$  is infinite, there is an increasing sequence  $k_i \in (M/n)(\mathcal{F}_{\{n\}})$ . Then by the inductive hypothesis,  $t_{k_i+1}^{\mathcal{F}_{\{n\}}} \in (\mathcal{F}_{\{n\}} \upharpoonright M)^{(\gamma)}$ . By lemma 3.7 we have

$$s_i = \{n\} \cup t_{k_i+1}^{\mathcal{F}_{\{n\}}} \in (\mathcal{F} \upharpoonright M)^{(\gamma)}.$$

By lemma 3.2,  $s_i \to t_{n+1}^{\mathcal{F}}$ . Thus  $t_{n+1}^{\mathcal{F}} \in (\mathcal{F} \upharpoonright M)^{(\gamma+1)}$  and we are done.

(iii) Suppose  $\beta$  is a limit ordinal. Let  $\beta_m \uparrow \beta$  as in the definition of a  $\beta$ -uniform family. Since M/n is  $\mathcal{F}_{\{n\}}$ -adequate, then there is a sequence of finite sets  $u_m \subset M/n$  and ordinals  $\gamma_m \geq \beta_m$  such that  $\mathcal{G}_m = \mathcal{F}_{\{n\} \cup u_m}$  is  $\gamma_m$ -uniform on  $M/u_m$  and  $M/u_m$  is  $\mathcal{G}_m$ -adequate. By lemma 4.2, we assume that each  $\gamma_n$  is a successor ordinal. Let  $k_m \in M(\mathcal{G}_m)$ . Then by the inductive hypothesis  $t_{k_m+1}^{\mathcal{G}_m} \in (\mathcal{G}_m \upharpoonright M)^{(\beta_m)}$ . By lemma 3.7 we have

$$s_m = \{n\} \cup u_m \cup t_{k_m+1}^{\mathcal{G}_m} \in (\mathcal{F} \upharpoonright M)^{(\beta_m)}.$$

By lemma 3.2,  $s_m \to t_{n+1}^{\mathcal{F}}$ . Thus  $t_{n+1}^{\mathcal{F}} \in (\mathcal{F} \upharpoonright M)^{(\beta)}$  and we are done.

The proof of the theorem is by induction on  $\alpha$ . It remains only to consider the case when  $\alpha$  is a limit ordinal. Let  $(\alpha_k)_k$  be an increasing sequence of ordinals converging to  $\alpha$  as in the definition of a  $\alpha$ -uniform family. Since M is  $\mathcal{F}$ -adequate, then for all k there is a finite set  $v_k \subset M$  such that  $M/v_k$  is  $\mathcal{F}_{v_k}$ -adequate and  $\mathcal{F}_{v_k}$  is  $\gamma_k$ -uniform with  $\gamma_k \geq \alpha_k$ . By the inductive hypothesis, the CB index of  $\mathcal{F}_{v_k} \upharpoonright M/v_k$  is  $\gamma_k$  and therefore (by lemma 3.7) the CB index of  $\mathcal{F} \upharpoonright M$  is larger than  $\gamma_k$  for all k. Thus this last index is  $\alpha$ .

For  $\alpha = \omega$  we have a more precise result.

**Theorem 5.2.** Let  $\mathcal{F}$  be a  $\omega$ -uniform family on a final segment of  $\mathbb{N}$  and  $M \in \mathbb{N}^{[\infty]}$ . Then,  $\mathcal{F} \upharpoonright M$  has CB index  $\omega$  if, and only if, M is  $\mathcal{F}$ -adequate.

**Proof.** The if part follows from 5.1. For the other direction we will use the characterization of  $\mathcal{F}$ -adequate sets given in example 4.1.

Let  $\mathcal{F}$  be a  $\omega$ -uniform family on S and  $(m_k)_k$  be an strictly increasing sequence in  $\mathbb{N}$  such that  $\mathcal{F}_{\{k\}}$  is  $m_k$ -uniform on S/k for all  $k \in \mathbb{N}$ . Suppose  $\mathcal{F} \upharpoonright M$  has CB index  $\omega$ . Then, given  $n \in \mathbb{N}$  there exists  $t \in (\mathcal{F} \upharpoonright M)^{(n)}$  and a sequence  $(t_i)_i$  in  $(\mathcal{F} \upharpoonright M)^{(n-1)}$  such that  $t_i \uparrow t$ . Let  $k_i = \min(t_i)$ , by Proposition 3.8, for all  $i \in \mathbb{N}$ ,  $t_i/k_i \in ((M/k_i)^{[m_{k_i}]})^{(n-1)}$  or  $t_i = t_{k_i}^{\mathcal{F}}$  with  $k_i - 1 \in M$ . Since  $(t_i)_i$  is convergent, then eventually  $t_i \neq t_{k_i}^{\mathcal{F}}$ . Therefore, by Proposition 3.11, we can suppose that each  $t_i/k_i$  has the form  $t_i/k_i = u_i \cup \{p_i, p_i + 1, \dots, p_i + n - 1\}$  with  $p_i - 1 \in M$  for each  $i \in \mathbb{N}$ . Hence,  $\{p_i - 1, p_i, p_i + 1, \dots, p_i + n - 1\} \subseteq M$  for all  $i \in \mathbb{N}$ , which implies M is  $\mathcal{F}$ -adequate.

**Corollary 5.3.** Let  $\mathcal{F}$  be a  $\omega$ -uniform family and  $M \in \mathbb{N}^{[\infty]}$ . Then,  $\mathcal{F} \upharpoonright M$  has a topological copy of  $\mathcal{F}$  if, and only if, M is  $\mathcal{F}$ -adequate.

**Proof.** Let  $\mathcal{F}$  be a  $\omega$ -uniform family and  $M \in \mathbb{N}^{[\infty]}$ . If  $\mathcal{F} \upharpoonright M$  contains a topological copy of  $\mathcal{F}$ , then  $\mathcal{F} \upharpoonright M$  has CB index  $\omega$  and therefore by Theorem 5.2 M is  $\mathcal{F}$ -adequate. Reciprocally, if M is an  $\mathcal{F}$ -adequate set, then by Theorem 5.2  $\mathcal{F} \upharpoonright M$  has CB index  $\omega$ , and by Theorem 2.3  $\mathcal{F} \upharpoonright M$  has a topological copy of  $\mathcal{F}$ .

Finally, we present a result about the restriction to a set of the form E(T) for T a  $\mathcal{F}$ -tree.

**Theorem 5.4.** Let  $\mathcal{F}$  be an  $\alpha$ -uniform family with  $\alpha > \omega$  indecomposable. If T is a  $\mathcal{F}$ -tree, then  $\mathcal{F} \upharpoonright E(T)$  contains a topological copy of  $\mathcal{F}$ .

**Proof.** Let  $\mathcal{F}$ ,  $\alpha$  and T be as in the hypothesis. Then by proposition 4.7, we know that E(T) is  $\mathcal{F}$ -adequate. Hence by Theorem 5.1,  $\mathcal{F} \upharpoonright E(T)$  has CB index  $\alpha$ , and by Theorem 2.3,  $\mathcal{F} \upharpoonright E(T)$  has a topological copy of  $\mathcal{F}$ .

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